



Overview & Scrutiny Committee

Date: Monday 6 September 2021

Time: 10.00 am **Public meeting** Yes

Venue: Room 116, 16 Summer Lane, Birmingham, B19 3SD

Membership

Councillor Cathy Bayton (Chair)	Association of Black Country Authorities
Councillor Lisa Trickett (Vice-Chair)	Birmingham City Council
Councillor Naeem Akhtar	Coventry City Council
Councillor Maya Ali	Coventry City Council
Paul Brown	Black Country Local Enterprise Partnership
Councillor Mike Chalk	Worcestershire Non-Constituent Local Authorities
Councillor Liz Clements	Transport Scrutiny Sub-Committee
Councillor Tony Diccio	Solihull Metropolitan Borough Council
Councillor Peter Fowler	Birmingham City Council
Councillor Shabrana Hussain	Birmingham City Council
Councillor Ian Kettle	Dudley Metropolitan Borough Council
Councillor Nigel Lumby	Shropshire Non-Constituent Local Authorities
Councillor Charn Padda	Sandwell Metropolitan Borough Council
Councillor Simon Peale	Staffordshire Non-Constituent Local Authorities
Councillor Paul Sweet	City of Wolverhampton Council
Councillor Vera Waters	Walsall Metropolitan Borough Council

Quorum for this meeting shall be 10 members

If you have any queries about this meeting, please contact:

Contact Lyndsey Roberts, Scrutiny Officer
Telephone 07917 473824
Email lyndsey.roberts@wmca.org.uk

AGENDA

No.	Item	Presenting	Pages
Items of Public Business			
1.	Apologies for Absence	Chair	None
2.	Declarations of Interests Members are reminded of the need to declare any disclosable prejudicial interests they have in an item being discussed during the course of the meeting. In addition, the receipt of any gift or hospitality should be declared where the value of it was thought to have exceeded £25 (gifts) or £40 (hospitality).	Chair	None
3.	Chair's Remarks (a) Scrutiny Champions 2021/22 (b) Senior Officer Changes	Chair	1 - 2
4.	Minutes - 21 July 2021	Chair	3 - 8
5.	Matters Arising (a) Scrutiny Review: Economic Needs of the Black Country - Response to the recommendations arising out of the review.	Chair	To Follow
6.	Corporate Strategy	Adam Hawksbee	9 - 22
7.	Grant Register, Business Planning and Performance Reporting	Kate Taylor / Fiona Bebbington	23 - 38
8.	Pre-Development Scrutiny: Air Quality and the Role of the WMCA	Jackie Homan	39 - 42
9.	WMCA Governance Review - Progress Update	Satish Mistry	To Follow
10.	Minutes: Transport Scrutiny Sub-Committee - 28 July 2021	Councillor Liz Clements	43 - 46
11.	Work Programme (a) Overview & Scrutiny Committee (b) WMCA Board Forward Plan	Chair	47 - 54
12.	Date of Next Meeting Thursday 14 October 2021 at 9.30am (Mayoral Q&A - Policy)	Chair	None



BRIEFING NOTE - SENIOR OFFICER CHANGES

1. Purpose

- 1.1 The purpose of this report is to provide a summary of the changes at Senior Officer level at WMCA and the timescales for recruitment to roles.

2. Background

- 2.2 Following the resignations of the Chief Executive and the Director of Law and Governance we have appointed to both roles on an interim basis. Both roles are essential key roles and perform statutory functions for the Authority in line with the Local Government and Housing Act 1989. The Chief Executive role has the statutory responsibility as Head of Paid Service and the Director of Law and Governance has statutory responsibility for the role of Monitoring Officer for the Authority.
- 2.3 The previous Director Law and Governance, Tim Martin left the organisation on 28th May 2021, and following a recruitment exercise, Satish Mistry has been appointed as Interim Director of Law and Governance. This is a temporary interim arrangement provided through an agency to cover the role until a permanent role is recruited. The Director of Law and Governance is formally appointed as the Authority's Statutory Monitoring Officer.
- 2.4 Following the departure of Deborah Cadman, the previous Chief Executive for the CA, under direction of the Employment Committee, a short internal recruitment exercise has been undertaken to appoint an Interim Chief Executive. A sub panel of the Employment Committee, comprising the Mayor, Deputy Mayor and labour Cllr George Duggins, were convened to make the Interim appointment and Laura Shoaf was appointed to the role of Interim Chief Executive. Laura, whose substantive role is Managing Director – Transport for West Midlands, commenced her new role on 14th June 2021.
- 2.5 With Laura Shoaf acting up into the Chief Executive role (and the Head of Paid Service role), Anne Shaw was appointed to the role of Managing Director – Transport for West Midlands following a short internal expressions of interest exercise. Anne took up her interim role on 12th July 2021.
- 2.6 The permanent recruitment for the roles of Chief Executive and Director Law and Governance, will take place during September. It is planned that the interviews will take place during October, although the exact interview dates are yet to be finalised. Both appointments will be made by the Employee Committee, in line with the Authority's Constitution. The interim arrangements will remain in place until the permanent role holders are appointed and take up each respective role.
- 2.7 Julia Goldsworthy, Director of Strategy has decided to leave the Authority. There are no plans at this stage to replace the role.

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Overview & Scrutiny Committee

Wednesday 21 July 2021 at 2.00pm

Minutes

Present:

Councillor Cathy Bayton (Chair)	Association of Black Country Authorities
Councillor Lisa Trickett (Vice-Chair)	Birmingham City Council
Councillor Naeem Akhtar	Coventry City Council
Councillor Maya Ali	Joint Coventry/Solihull Representative
Councillor Mike Chalk	Worcestershire Non-Constituent Local Authorities
Councillor Tony Diccico	Solihull Metropolitan Borough Council
Councillor Peter Fowler	Birmingham City Council
Councillor Ian Kettle	Dudley Metropolitan Borough Council
Councillor Nigel Lumby	Shropshire Non-Constituent Local Authorities
Councillor Charn Padda	Sandwell Metropolitan Borough Council
Councillor Simon People	Staffordshire Non-Constituent Local Authorities
Councillor Vera Waters	Walsall Metropolitan Borough Council

In Attendance:

Councillor Liz Clements	Transport Scrutiny Sub-Committee
Dan Essex	Governance Services Manager
Satish Mistry	Interim Director Law & Governance
Lyndsey Roberts	Scrutiny Officer
Kate Taylor	Head of Finance Business Partnering

1. Apologies for Absence

Apologies for absence were received from Paul Brown (Black Country LEP) and Councillor Shabrana Hussain (Birmingham City Council).

2. Appointment of Chair

The committee noted that at the WMCA Board meeting held on 25 June 2021, Councillor Cathy Bayton had been appointed as the Chair of this committee for 2021/22.

3. Appointment of Vice-Chair

The appointment of the Vice-Chair was the responsibility of the Overview & Scrutiny Committee and had to be a member from a different political group to the Mayor. The Chair proposed that Councillor Trickett be appointed as Vice-Chair of this committee, as she had chaired the committee for the last two years and therefore brought considerable experience to the role as well as being able to steer the transition of the work of the committee from last year into this year.

Resolved:

Councillor Lisa Trickett be appointed as Vice-Chair of the Overview & Scrutiny Committee for 2021/22.

4. Appointment of Chair of the Transport Scrutiny Sub-Committee

The appointment of the Chair of Transport Scrutiny Sub-Committee was the responsibility of the Overview & Scrutiny Committee and had to be a member from a different political group to the Mayor.

The Chair reported that as Councillor Liz Clements was an active member of the sub-committee last year and had considerable experience chairing Birmingham City Council's Sustainability & Transport Overview & Scrutiny Committee. She therefore proposed that Councillor Liz Clements be appointed as Chair of the Transport Scrutiny Sub-Committee. In order to maintain the close link between transport scrutiny and the main Overview & Scrutiny Committee, it was also proposed that Councillor Liz Clements be co-opted as a non-voting member of the Overview & Scrutiny Committee.

Resolved:

- (1) Councillor Liz Clements be appointed as Chair of the Transport Scrutiny Sub-Committee for 2021/22.
- (2) Councillor Liz Clements be co-opted as a non-voting member of the Overview & Scrutiny Committee for 2021/22.

5. Chair's Remarks

(a) Welcome and Introductions

The Chair welcomed members to the first meeting of the year and invited everyone to introduce themselves and give an indication as to how they thought they may be able to contribute to the work of the committee during the year.

(b) Process for Appointment of Chair

Given the unexpected manner in which the nomination for Chair of this committee took place at the WMCA Board AGM in June, the Chair requested that the Interim Director of Law & Governance formulate a more structured and transparent process for appointing the Chair of the committee in future years.

(c) Appointments to Overview & Scrutiny Committee

The Chair reported that the committee was currently awaiting a nomination from the Greater Birmingham & Solihull LEP, Coventry & Warwickshire LEP, Warwickshire Non-Constituent Local Authorities and a second representative from the Association of Black Country Authorities.

(d) Eid al-Adha

The Chair noted that this meeting was being held on the same day as the festival of Eid al-Adha and apologised for the fact that this had meant some members were unable to attend. The Chair assured that future meetings would not coincide with religious festivals.

6. Minutes - 8 March 2021

The minutes of the meeting held on 8 March 2021 were agreed as a correct record.

Matters Arising

(a) Economic Funding Tracker (minute no. 109)

At the last meeting concern was expressed over the content of the funding tracker as it did not clearly show the actual money received to date against the asks and delivery of schemes. Members noted that this information would provide greater transparency, accountability and confidence.

The Chair and Vice-Chair would be meeting with the Director of Finance and the Director of Strategy on 11 August to discuss the economic funding tracker in further detail. The tracker would be presented to future meetings of the committee.

(b) Economic Needs of the Black Country Scrutiny Review - Summary of Findings (minute no. 112)

At its last meeting, the committee considered a draft report outlining the findings of the scrutiny review undertaken to understand whether the region's economic recovery proposals were meeting the needs that had been identified within the Black Country sub-region.

The findings of the review were reported to a meeting of the WMCA Board held on 19 March through the minutes of this committee. It was expected that a response from the Mayor to the findings of the review would be received prior to the next meeting of the Overview & Scrutiny Committee.

(c) Scrutiny Review on the Effectiveness of the WMCA's Governance Structures in Delivering the Policy Objectives of the WMCA- Update on Recommendations (minute no. 114)

During 2019/20 the committee undertook a scrutiny review that looked at the effectiveness of the WMCA's governance structures in delivering the policy objectives of the WMCA. The Interim Director of Law & Governance informed members of the committee that the WMCA Board would be undertaking a separate review of governance that had emanated from a meeting with the Mayor and constituent authority leaders. The terms of reference for the review included a focus on the decision-making arrangements particularly around advisory bodies, the process of report writing and engagement with constituent authorities.

In terms of timescales, the Interim Director of Law & Governance reported that a mapping exercise would be completed in September and the comparative work would be completed in October.

The Chair sought clarification as to whether the allowances scheme that included a payment of basic and special responsibility allowances to members of the Transport Delivery Committee, an arrangement that was not replicated within any other WMCA Board or committee, would be reviewed as part of the governance review. Given that these allowances were last reviewed more than five years ago, the Chair emphasised the need for a review so that the WMCA Board may confidently adopt a scheme of allowances that appropriately recognised the requirements of membership of the WMCA Board and its committees.

The Interim Director of Law & Governance noted that following the comments made at the WMCA Board meeting held on 25 June, he had liaised with the Deputy Mayor who had confirmed that a review of the allowances scheme may emerge from the evidence as part of the wider governance review. He also assured the committee that findings of the scrutiny review on the effectiveness of the WMCA's Governance structures in delivering the policy objectives of the WMCA would be reviewed and considered as part of the governance review.

7. Schedule of Future Meetings

The schedule of meetings was agreed as follows:

- 6 September 2021
- 14 October 2021 (Mayoral Q&A Policy)
- 8 November 2021
- 15 December 2021 (Mayoral Q&A - Draft WMCA Budget2022/23)
- 10 January 2022
- 7 March 2022

8. Arrangements for Future Meetings

The committee discussed the arrangements for future meetings and agreed that face-to-face meetings were preferred, however meetings would be held over Microsoft Teams where required.

9. Potential Committee Work Plan and Scrutiny Review Topics

(a) Draft Committee Work Programme

With regards to air quality, there was an opportunity for members of the committee to work with the Head of Environment on the role of the WMCA and how this was balanced with the work of local authorities in addressing air quality issues. It was reported that there would be additional requirements as a result of the outcome of the Environment Bill that was expected in the autumn.

In terms of the re-introduction of scrutiny champions, it was agreed that a further discussion would be held at the next meeting.

(b) WMCA Board Forward Plan

The committee noted a forward plan of items that were to be reported to future meetings of the WMCA Board.

(c) Proposed Scrutiny Review 2021/22

The committee considered a report of the Interim Director of Law & Governance that provided an overview of a draft scoping document for a scrutiny review that looked at the remit and use of housing and land powers available to the WMCA.

The Chair proposed that a review be undertaken to examine the role and remit of the WMCA to deliver affordable housing within the West Midlands through the use of the Brownfield Land & Property Investment Fund, and to consider how this supported and added value to the responsibilities of the constituent authorities in respect of affordable housing provision.

Resolved:

- (1) The draft scoping document for the proposed scrutiny review on the remit and use of housing and land powers available to the WMCA be agreed.
- (2) Councillor Naeem Akhtar, Councillor Simon People, Councillor Lisa Trickett and Councillor Vera Waters be appointed as members of the scrutiny review.

10. Date of Next Meeting

The next meeting of the committee would be held on Monday 6 September 2021 at 10.00am.

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Overview & Scrutiny Committee update: Corporate Strategy

6th September 2021

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Agenda Item 6

Why do we need a Corporate Strategy?

What is the Corporate Strategy?

- The Corporate Strategy will be the **single, umbrella strategy** which sets out **everything** the WMCA will do over the next **3 years**
- It will translate our **vision, regional and local authority priorities** and the **Mayoral Manifesto** into the **work we will do**

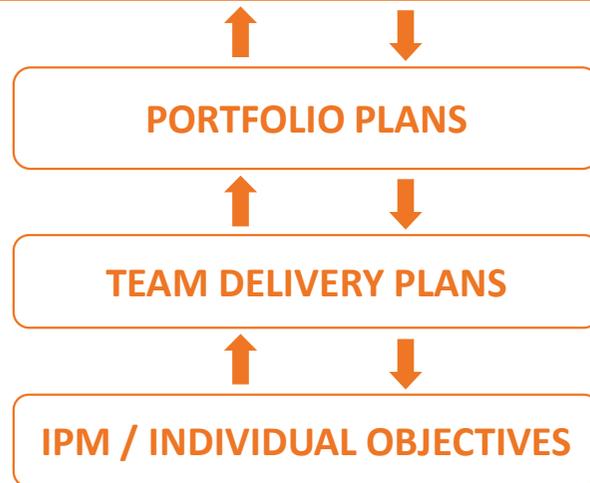


Why now?

- The WMCA, as a relatively young organisation, has **not developed a corporate strategy before**, but multi-year planning is important for the organisation to mature
- The **new mayoral term** represents a good opportunity to launch the Corporate Strategy process

The Corporate Strategy will flow through to individual objectives as a ‘golden thread’

WMCA CORPORATE STRATEGY 2021-24



1. We will update performance management systems with the Corporate Strategy
2. WMCA staff will be expected to review their goals to realign them with the Corporate Strategy

The six Aims of our Corporate Strategy



Page 12
1 Drive **economic growth** in every corner of the region



2 Ensure **everyone** has the opportunity to benefit



3 **Connect our communities** by designing and delivering housing and transport



6 **Develop our organisation** and our role as a good regional partner

4 **Reduce carbon emissions** to net zero and enhance the environment



5 Secure **new powers and resources** from central government

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The structure of our Corporate Strategy

WMCA Corporate Strategy 2021-21																	
Aim 1 			Aim 2 			Aim 3 			Aim 4 			Aim 5 			Aim 6 		
Objective	Objective	Objective															
Outcome Measure	Outcome Measure	Outcome Measure															
Key activity	Key activity	Key activity															

Timeline and next steps

	Engagement Point	Objective
12 th July – 2 nd August	Bilateral discussions with Leaders	<ul style="list-style-type: none">Discuss early draft of the Corporate Strategy and hear Leaders' priorities for WMCA / LA relationship going forward
1 st October	Leaders' roundtable	<ul style="list-style-type: none">Scenario choices for future WMCA activity
Late October	Further Portfolio holder / Leader engagement	<ul style="list-style-type: none">Discuss v2 Corporate Strategy content with Met Leaders and CXs
8 th November	Overview & Scrutiny Committee	<ul style="list-style-type: none">Discuss v2 Corporate Strategy
19 th November	WMCA Board	<ul style="list-style-type: none">Agree Corporate Strategy vFinal

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The following slides outline our draft Corporate Strategy content

- We are sharing our **draft** Corporate Strategy content, so we can hear your feedback as we continue to develop it over the coming weeks
- They will remain as **draft** until finalised for agreement at November Board

Key questions for discussion

1. Content

- Is there anything missing from this strategy which members would expect to see?
- Conversely, is there anything here members believe the WMCA should not be doing?

2. Framing and language

- Have we used clear, accessible and accurate language to articulate the activity we will be doing?

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Aim 1: To drive economic growth in every corner of the region and stimulate the creation of good jobs



West Midlands
Combined Authority

Our draft Objectives under Aim 1:

1.1	We will develop economic strategy for the region to drive growth, delivering interventions to boost innovation, developing and promoting investment opportunities, and supporting industrial clusters
1.2	We will work with partners and government to create the optimum conditions for business growth, by ensuring the support they need to start up, grow and succeed
1.3	We will invest in training and skills programmes that help all our businesses grow and our people secure good jobs
1.4	We will work closely with WMGC and local authorities to make the most of our culture, sport and tourism opportunities and secure inward investment
1.5	We will leverage public and private investment in the region, such as HS2 and the Commonwealth Games, to create good work opportunities for local people and more contracts for local businesses
1.6	We will support the social economy by helping to attract social investment and piloting innovative schemes to build the resilience of voluntary and community groups



Aim 2: To ensure everyone has the opportunity to benefit as the region recovers from COVID-19 and tackles long-standing challenges

Our draft Objectives under Aim 2:

2.1	We will work with local authorities, Jobcentres, colleges and training providers to ensure our communities are given training and employment support to access and succeed in new opportunities
2.2	We will work with partners to identify and address the different barriers faced by our communities, through a Life Chances Commission focused on young people and an Equalities Taskforce
2.3	We will enable more vulnerable people to enter the workforce by bringing partners together to tackle health inequalities, increase physical activity, and improve mental health
2.4	We will bring partners together to design out homelessness by addressing its underlying causes
2.5	We will convene partners to develop interventions and secure funding to improve digital inclusion



Aim 3: To connect our communities by designing and delivering housing and transport

Our draft Objectives under Aim 3:

3.1	We will deliver and operate a safe, accessible, affordable, reliable, and sustainable transport system that will enable all communities to better access opportunity across the region
3.2	We will build new and develop current transport infrastructure, so that travel in the region is sustainable, active, and low-congestion
3.3	We will invest in and support housing and regeneration schemes across the region, working closely with the private sector and local councils to unlock and accelerate delivery of thousands of new homes, including affordable homes
3.4	We will unlock and enable the delivery of housing and commercial developments, ensuring new development directly aligns with investment in public transport and net zero ambitions
3.5	We will deliver and support co-ordinated investment packages across our key corridors and local, town, and city centres to secure the workspaces, homes, and attractions that our communities need



Aim 4: To reduce carbon emissions to net zero and enhance the environment

Our draft Objectives under Aim 4:

4.1	We will work with partners to facilitate the delivery of and investment in programmes to retrofit homes and develop smart local energy systems with partners
4.2	We will be national pioneers in advanced methods of construction, zero carbon housing, and brownfield regeneration and delivery, informed by research at the National Brownfield Institute
4.3	We will develop programmes to drive industrial decarbonisation and grow the circular economy
4.4	We will support the creation of green jobs in the region and invest in green skills and training so our citizens can access these jobs
4.5	We will pioneer and embed transport innovations and drive behaviour change to reduce emissions, improve air quality, and create green jobs
4.6	We will increase investment in nature and our surroundings through a regional natural capital plan

»»» Aim 5: To secure new powers and resources from central government, and demonstrate the strength of our regional partnership

Our draft Objectives under Aim 5:

5.1	We will develop our role as a collaborative regional partner, understanding the priorities of local organisations and acting as a convener and bridge to add value
5.2	We will work collectively with central government to gain new powers, resources, and investment channels for the WMCA and local authorities



Aim 6: To develop our organisation and our role as a good regional partner

Our draft Objectives under Aim 6:

6.1	We will deliver collectively as one organisation with a collaborative and inclusive culture
6.2	We will provide support for our staff to work in new agile ways
6.3	We will develop the skills and competencies of our staff
6.4	We will build an increased digital and data capability across the organisation
6.5	We will provide leading support for a diverse workforce and demonstrate excellent equality and diversity practice
6.6	We will engage with stakeholders and citizens to put their views at the heart of our planning, decision-making and delivery
6.7	We will deliver through clear and effective governance and performance management that supports good, timely, and transparent decision making and the best use of public funds



Overview & Scrutiny Committee

Date	6 September 2021
Report title	Grant Register, Business Planning & Performance Reporting
Accountable Chief Executive	Laura Shoaf, West Midlands Combined Authority email: laura.shoaf@wmca.org.uk tel: (0121) 214 7444
Accountable Employee	Linda Horne, Director of Finance email: linda.horne@wmca.org.uk tel: (0121) 214 7508

Recommendation(s) for action or decision:

The Overview & Scrutiny Committee is recommended to:

- (1) Note the current changes and progress with regards to business planning and performance reporting.
- (2) Note and comment on the Grant Register.

1. Purpose

- 1.1 This paper provides an overview of the current business planning, performance reporting and risk foundation and what we are working towards to provide exemplar reporting that enables leaders to make evidence-based decisions.
- 1.2 In addition, there is an appendix of the current grant register. This contains all current 'live' grants where the WMCA is the accountable body and captures a comprehensive total of grants, awarding body, time period for delivery and a description of what the grant delivers.

2. Background

- 2.1 The Business Planning & Performance Reporting Team was formed in March 2021 within the Finance & Business Hub as a result of Business Transformation. Prior to that performance, risk and assurance were part of the Strategic Hub in the Strategy & Economy Directorate.
- 2.2 This new team has responsibility for performance, risk, business planning and a small resource of Project Managers to support development and implementation of organisational projects. This presents an opportunity, working alongside finance colleagues, to support the 'golden thread' by translating the Corporate Strategy aims and objectives into annual plan activity that can be measured by outputs and outcomes. In this first year the team are designing, implementing and embedding the systems, processes and vehicles that add value through risk, performance and M&E frameworks that are joined up and deliver an enhanced control environment.

3. Strategic Risk Management Framework

- 3.1 The Strategic Risk Management Framework (SRMF) is the overarching Risk Management Framework of the WMCA. It was developed and published in 2021 and sets the foundations upon which growing risk maturity will be achieved by the WMCA. The SRM Framework sets the tone for management of the risks owned across the CA, including project, programme, Portfolio/Directorate and operational risk as well as those identified as strategic in nature. The Framework provides a consistent organisation-wide approach to risk management through its universal scoring and escalation models, allowing the aggregation and escalation of risk where appropriate.
- 3.2 Roll-out of the SRMF is underway following sign-off by SLT in April and ARAC in June 2021. All templates and tools have been designed to provide consistency and this has been supported by training for all risk managers. In addition, there will be a maturity assessment in October and gap remediation to be completed by January 2022 and it is anticipated that organisational compliance will be achieved by February 2022.
- 3.3 In order to ensure continuous improvement, the SRMF will be reviewed on a minimum of an annual basis and this will enable it to be dynamic and respond to the ever changing WMCA and global risk landscape.

4. Single Assurance Framework - Appraisal

- 4.1 The Single Assurance Framework (SAF) was approved by WMCA Board in July 2020 and the approvals process went live in September 2020 with the introduction of the Investment Panel. As part of the Business Case appraisal process and in-line with HMT Green Book 5-case model, the Appraisal team interface with Risk as part of the Management Case review, to ensure robust reviews are undertaken and strategies are in place. These reviews enable informed risk-based investment decisions, through the provision of observations and recommendations to Investment Panel, Investment Board and WMCA Board.
- 4.2 Appraisal informs decision-makers on key principles such as VfM, commercial viability and deliverability i.e. is this a project the WMCA should be supporting and if so, why. In addition, each project is assessed against its alignment to the WMCA Strategic Objectives, Corporate Strategy and Local, Regional and National Policy. Appraisers also offer support and guidance to business case authors during the development of their business case whilst also being key subject matter expert (SME) on all SAF associated processes. This has a dual benefit of building strong working relationships with project teams who utilise appraiser's knowledge to achieve an approval decision and for the WMCA it results in robust business cases that meet both HMT and WMCA requirements.
- 4.3 Appraisers also facilitate appropriate risk management/ mitigation strategy development to respond to or manage the risk likelihood/impacts by delivery organisations. The appraisal does not, nor is it intended to, define the risk management strategy in terms. Within the appraisal recommendations have regularly been made that risk management strategies should be developed/enhanced by delivery organisations in order to prevent risks materialising as issues and the predicted impacts/consequences being felt.
- 4.4 Following Business Transformation the Appraisal function, which previously sat alongside Risk, is now aligned with Assurance. When the SAF is followed correctly i.e. assurance and appraisal completed in a linear fashion (not in parallel) and there is a sufficient timeframe allocated, the consequences of project approval are considered.
- 4.5 A recent example that illustrates this is the business case to create two roundabouts in Solihull (Longabout and Roundabout Over-trace). Would the WMCA be opposing its own #WM2041 environmental policy by promoting car use by approving this? This challenge was raised during appraisal and as a result SMBC are now including initiatives to encourage greener travel within the corridor i.e. e-travel, improved rail connectivity and improved connectivity to Metro/Sprint services.
- 4.6 Over the past 11 months and based on 360 feedback from decision-makers, business case authors and WMCA SMEs the process and templates have been continuously improved. For example:
- Appraisal reporting now includes a 'project response' column against recommendations raised
 - Panel members offered 1-2-1 briefings prior to Investment Panel on individual cases
 - Risks and Opportunities of each project are included within the executive summary
 - Increase in stakeholder engagement has resulted in the emerge of a list of SMEs who appraisal can call upon to review technical cases i.e. innovation

- Presence of the team within HMTs 'Green Book Network' to harness knowledge from like-minded individuals across the region. This will be used to improve the business case templates when they are refreshed

5. Business Planning & Performance Reporting

- 5.1 The annual business planning process will begin in earnest in October, although considerable pre-work has been undertaken in collaboration with colleagues in Strategy & Economy, who are developing the Corporate Strategy, and with Finance and HR teams. The intention is to ensure there is a clear 'golden thread' from strategic objectives through business plans down into individual performance goals and that this is supported by a bottom-up budget and a workforce planning.
- 5.2 Performance reporting was initially established in June 2020 and has matured in terms of the performance information that is provided. There are monthly reports to SLT on the high level deliverables (HLDs) that measure delivery against annual plan and to CMT on 'organisational health' for example people and central services performance. HLDs are also reported to WMCA Board mid-year together with any revised metrics and there is an annual review of performance following year-end. Additionally, there is the Investment Board dashboard monthly showing the status of all current projects by both delivery and financial information.
- 5.3 There is currently a project being scoped to deliver a Business Planning Performance solution with Data & Digital. The solution will drive a 'one version of the truth' set of data that can be presented/reported to various stakeholders in different ways. The key headline aspirations are detailed:
- One version of the truth
 - Hierarchies of performance data from a strategic view to a project view
 - Incorporated delivery, risk and financial data
 - Collation of outputs linked to economic themes
 - Cost centres linked to delivery (based around grant or fund source)
 - Visually rich performance dashboards

The Business Planning Performance solution will be vital to identify delivery performance, supporting decision makers to make informed decisions and detailing what we planning to achieve.

6. Legal Implications

There are no legal implications as a direct consequence of this report.

7. Impact on Delivery of Strategic Transport Plan

None

8. Equalities Implications

None

9. Inclusive Growth Implications

None

10. Geographical Area of Report's Implications

None

11. Other Implications

12. Schedule of Background Papers

12.1 Appendix – current grant register

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REVENUE GRANT REGISTER

Grant Name	Awarding Body	Date Grant Awarded	End Date	Total Grant Awarded (£)	Notes
Revenue Grant - over £100k					
Active Travel Fund	DfT	May-20	Mar-22	3,765,980	Phase 1 of Active Travel Fund to support temporary walking and cycling schemes in response to Covid 19 pandemic
Sales, Fees & Charges	MHCLG	Apr-20		1,186,388	The sales, fees and charges scheme compensates local authorities for irrecoverable income losses due to COVID-19. The sales, fees and charges scheme compensates local authorities for irrecoverable income losses due to COVID-19. Expanded to June 2021.
Dedicated Home 2 School/College	DfE	Sep-20	Jul-21	9,127,490	Funds to support alternative transport measures to public transport to get children to school/college during Covid 19.
Employment Support Pilot	DWP	Jun-18	Dec-21	4,700,000	The Employment Support Pilot is a 3-year programme started in June 2018, aimed at tackling unemployment and low pay within 9 communities across the WMCA region.
20/21 Adult Education Budget (Devolution)	DfE	Annual	Jul-21	130,614,575	Devolved budget from DfE for delivery of adult education (19+) in West Midlands region
21/22 Adult Education Budget (Devolution)	DfE	Annual	Jul-22	131,678,142	Devolved budget from DfE for delivery of adult education (19+) in West Midlands region
Adult Education Budget (Covid-19)	DfE	Aug-20	Jul-21	5,306,839	Devolved budget to support Covid 19 response for delivery of adult education
Adult Education Budget (Level 3)	DfE	Apr-21	Jul-22	11,446,329	Devolved budget from DfE for delivery of level 3 education offer open to adults without an existing L3 qualification in West Midlands
Adult Education Tech Grant	DfE	Apr-20	Jul-21	1,000,000	To deliver 4 digital skills projects. 1) Online learning platform with embedded artificial intelligence 2) Three demonstrator sites that will embed EdTech including AI, VR and big data across the curriculum delivery and business operation of the pilot sites 3) Cybersecurity and Hacking Lab 4) An open fund for innovation and creativity
Careers & Enterprise Company	Careers & Enterprise Company	Sep-19	Aug-21	120,000	This grant is to support the delivery of a NEET prevention project. The purpose of the project is to better understand the role that the Careers Strategy and the Gatsby Benchmarks can play in directing high quality work to prevent young people becoming NEET by the age of 19.
Digital Skills	DCMS	Sep-19	Feb-22	187,500	Funding to support entitlement to digital skills training across the region.
Digital Skills Pilot	DfE	Jun-19	Mar-23	5,000,000	Funding to support entitlement to digital skills training across the region.
Digital Bootcamp	DfE	Aug-20	Mar-22	1,726,699	Funding to hold more digital bootcamps focussing on building digital skills required by local employers with guaranteed interview opportunity.
FE Skills & Productivity	Gatsby Charitable Foundation	Jul-18	Dec-21	200,000	This grant is to support the preparation for and implementation of T Levels in the region.
Creative Scale Up	DDCMS	Apr-18	Mar-22	1,300,000	Support to Creative Businesses in the West Midlands with targeted support including Access to Finance, Marketing and Branding, Value and Pricing, Intellectual Property and Contract Law and Sales, Pitching and Presenting support. This will be delivered through a series of workshops, seminars and peer to peer mentoring sessions.
BFI National Cluster Growth Fund	BFI	Apr-20	Mar-22	1,000,000	Activity delivered by Create Central. Delivery a programme of activities to support the continued growth of the region's screen sector heavily focused on the 'future of media', with innovation, digital infrastructure, immersive storytelling and digital employability programmes taking place amongst more traditional elements such as attracting film and TV production to the region and promoting the West Midlands screen sector on a global stage.
Tourism, Trade & Investment	DCMS			13,200,000	An integrated programme of tourism, trade and investment jointly developed and to be jointly delivered by the West Midlands Growth Company, DCMS, DIT and Visit Britain. It will leverage the 2022 Commonwealth Games in Birmingham to deliver a legacy of economic benefits across the region.
West Midlands Regional Energy Systems Operator	Innovate UK	Jan-20	Dec-21	263,405	RESO project is a £2.62 million 2-year evidence based design project which started in January 2020 and will develop a detailed design for a new local energy system for the city of Coventry and beyond. WMCA are one of the partners in this project run by Coventry City Council.
Bus Services Operating Grant	DfT	Annual		1,792,259	A discretionary grant paid to operators of eligible local bus services to help them recover some of their fuel costs.
Covid Bus Services Support Grant	DfT	Mar-20		3,503,802	The COVID-19 bus service support grant has been set up to support commercial bus operators in England in recognition of the impacts of coronavirus (COVID-19) on their revenue due to reduced patronage.
Intracity Prep Fund	DfT		Mar-22	8,900,000	Preparation fund to support development of capital projects in ICTS (now CRSTS) bid.
Commonwealth Games	DCMS	Apr-18	Aug-22	28,305,213	This grant is for the Transport Budget of the overall Games Delivery Budget which was allocated to the Organising Committee for the delivery of the CWG. The transport Budget has delegated to TFWM. Grant is paid based on expenditure incurred.
Travel Demand Management Grant	DfT	Aug-20		225,000	Grant awarded for the impact on the local transport network on the full re-opening of schools and colleges in September; and on the level of travel demand management (TDM) support required with managing any impact. Specifically the travel demand management grant is for revenue TDM activities
Made Smarter	BEIS	Apr-21	Mar-22	1,900,000	Made Smarter Adoption support for businesses in West Midlands in 2020/21 in line with delivery plan agreed with BEIS.
Rough Sleeping Initiative	MHCLG	Apr-20	Mar-22	1,162,800	Providing local support to people living on streets including mobilisation of accommodation.

REVENUE GRANT REGISTER

Grant Name	Awarding Body	Date Grant Awarded	End Date	Total Grant Awarded (£)	Notes
Revenue Grant - over £100k					
Sport England	Sport England	Mar-19	Mar-22	479,000	This consist of two workstreams: 1. Active Communities - this is for evidence led place based work such as Public Space and Black Country Moving 2. Resilient Communities - focuses on for example getting more disabled citizens active including staffing, the WM physical activity joint leadership and the digital training for sports clubs emergency response to lockdown
Midland Engine	Coventry University	Jun-19	Jun-22	963,998	Thrive At Work is one of the 4 pilots funded by MHPP through Coventry University. It is a workplace wellbeing programme, aiming to improve the health, wellbeing and resilience of employees by getting organisations to sign up to and advance in an accreditation programme on wellbeing. Thrive Into Work is a collaboration with WHU and local healthcare partners on specialised support (IPS) into sustained employment for people with long term health conditions.
Supported Bus Services	DfT	Apr-20	Mar-22	879,000	Provided to as revenue support to help provide more bus services in areas by improving current services, restoring lost services or supporting new services
Mobility Credits	DfT	Feb-21	Mar-23	922,500	Mobility credits scheme for eligible residents to scrap vehicles in return for mobility credits. Pilot launched in Coventry in March 2021.
Transforming Cities Fund (FMZ)	DfT	Jun-19	Mar-23	2,000,000	The purpose of the Future Mobility Zones is to: trial new transport services modes and models, creating a functioning marketplace for mobility that combines new and traditional modes of transport; improve integration of services; increase the availability of real-time data; and provide access to digital planning and payment options. The fund also aims to explore options for: providing mobility credits, or other low-cost options, for lower income households; and delivering efficiencies through shared (dynamic) demand responsive transport.
National Lottery	National Lottery	May-21	Dec-22	834,669	The project is to support disadvantaged young people to access the Commonwealth Games Volunteering Programme delivered through the Jobs and Skills Academy. To use the Commonwealth Games brand and leverage to create more employment, training, and volunteering opportunities to support young people who have been hardest hit as a result of COVID-19. To engage with a minimum of 800 young people on JSA To support a minimum of 280 young people into jobs To support a minimum of 320 individuals to volunteer To support a minimum of 64 individuals into specialist support Other positive outcomes for the young people will include accessing further training; access to Kickstart and Apprenticeships; personal development, the development and monitoring of soft skills. The outcome for each young person will be dependent on their bespoke plan.
Bus Capacity Grant	DfT	Apr-20		100,000	Funding to support LTAs in developing local bus proposals as outlined in the National Bus Strategy (NBS), in particular, it is being offered to help LTAs towards the development of their Enhanced Partnership Schemes(EPS) or franchising scheme, and Bus Service Improvement Plans (BSIP) work, and to meet the timescales that go alongside that work.

Revenue Grant (less than £100k)

Commonwealth Sports Foundation	Commonwealth Sports Foundation	Jan-21	Jul-22	120,000	To support the delivery of the Birmingham 2022 Jobs and Skills Academy, Funding to support a post focused on the delivery of this programme.
Rugeley Feed Project	Innovate UK	Jan-20	Dec-21	34,039	One of 11 main partners. WMCA (Energy Capital) activities: - Lead the investment and finance work - Creation of investor panel to input into design process - Translation of Energy Innovation Zone program into the energy system design
TinnGo (Euros)	European H2020	Jan-19	Nov-21	41,054	One of 20 partners in this 3 year project aiming to create a framework and mechanisms for a sustainable game change in European transport through a transformative strategy of gender and diversity sensitive smart mobility.
SPROUT (Euros)	European H2020	Sep-19	Aug-21	53,916	SPROUT (Sustainable Policy RespOnse to Urban Mobility Transition) is a network of over 20 European cities and regions. The main objective of the project is to put cities at the heart of the urban mobility transition. By building on the solutions to urban mobility challenges - related to both passenger transport and urban freight logistics - the work of SPROUT will be focused on producing new and practice-based knowledge and tools.
SUITS (Euros)	European H2020	Jun-18	Feb-22	75,346	The project aims to help cities cut congestion and pollution while improving their growth capacity and quality of life for urban dwellers and commuters. Coventry University is the co-ordinator of 4m Euro total project.
Virgin Park and Charge Phase 2	Innovate UK	Oct-19	Dec-21	420,133	

Specific Revenue/Devo Grants

REVENUE GRANT REGISTER

Grant Name	Awarding Body	Date Grant Awarded	End Date	Total Grant Awarded (£)	Notes
Revenue Grant - over £100k					
Devo Deal Grant	MHCLG	Annual		36,500,000	Devolution Grant Deal (Investment Programme)
Mayoral Capacity Fund	MHCLG	Apr-18	Mar-22	4,000,000	Funding provided by central government to support funding of Mayoral Office
Devo Office of Data Analytics	MHCLG	Apr-18	No end date	800,000	Devolution funding to support operational activities of ODA
Devo Housing Package	MHCLG	Apr-17		6,000,000	Revenue grants to support capital housing grant activity delivery
Brownfield Housing Fund	MHCLG	Apr-20		2,826,498	Revenue grants to support capital housing grant activity delivery
One Public Estate	Local Government Association		Apr-22	1,246,141	Support OPE activity - drawn down by partners delivering OPE activity as required.

Other Revenue Contributions

IPS Programme	DWP	Mar-17	Jun-22	2,059,911
Brexit Support	MHCLG	Apr-18	No end date	1,375,059

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CAPITAL GRANT REGISTER

Grant Name	Awarding Body	Date Grant Awarded	Total Grant Award £	Notes
DfT - Highways Maint	DfT	Apr-21	11,304,000	Rolling annual settlement (due to finish March 2022), formula applied to distribute in full to LAs based on DfT direction. The value for 21/22 only is shown here and is inclusive of the DfT Highways Supplement (c.£2m). Grant distributed to all 7 LAs expect Birmingham who have a PFI arrangement for Highways.
DfT - ITB WMCA	DfT	Apr-21	17,755,000	Annual rolling settlement from DfT (due to finish March 2022). Funding is distributed to WMCA and all & LAs on a formula basis which is agreed locally. The 21/22 annual value only is shown here.
DfT - Pot Hole Fund	DfT	Apr-21	9,043,000	Rolling annual settlement (due to finish March 2022), formula applied to distribute in full to LAs based on DfT direction. The value for 21/22 only is shown here. Grant distributed to all 7 LAs expect Birmingham who have a PFI arrangement for Highways.
DfT - NPIFF	DfT	Sep-17	17,055,000	National Productivity Investment Fund including projects in Birmingham (Growth Point Public Transport Package), Walsall (Economic Growth and Infrastructure Project) and Solihull (Strategic Cycle Network)
DfT - Clean Bus Technology	DfT	Feb-18	5,987,750	Projects that work with bus operators or technology providers to retrofit buses to reduce emissions
DfT - Transforming Cities Fund	DfT	Apr-18	321,500,000	The purpose of the Transforming Cities Fund is to boost productivity, transform intra-city connectivity and reduce congestion through investment in public and sustainable transport in the West Midlands. No detailed constraints as to what it can be spent on, but the WMCA should have regard to the national objectives of the Fund. Programme agreed Nov 2018.
DfT - ECAMS	DfT	n/a	92,800	Enhanced Continuous Asset Monitoring Solution (ECAMS)
DfT - Joint Air Quality	DfT	Jan-19	3,456,520	Fund retrofit buses with kits that tackle exhaust emission in Birmingham
DfT West Midlands Trains	DfT		4,430,058	Car park and station works for Wolverhampton Interchange
DfT - A45 Sprint	DfT	Jul-20	35,000,000	Delivering SPRINT A45 scheme for Commonwealth Games in Summer 2022.
DfT - ADEPT Live Labs	DfT	Jul-19	2,650,000	Network Resilience Live Lab funding covering the transport network to build up a detailed picture of traffic and transport movements around the region through collection of data
DfT - Future mobility Zones	DfT	Jun-19	20,000,000	The purpose of the Future Mobility Zones is to: trial new transport services modes and models, creating a functioning marketplace for mobility that combines new and traditional modes of transport; improve integration of services; increase the availability of real-time data; and provide access to digital planning and payment options. The fund also aims to explore options for: providing mobility credits, or other low-cost options, for lower income households; and delivering efficiencies through shared (dynamic) demand responsive transport.
DfT - TfWM Bus Priority	DfT	Jul-20	24,225,000	Funding bus priority measures in Birmingham City Centre and between Dudley and Druids Heath.
DfT-Emergency Active Travel Fund	DfT	Nov-20	13,182,667	Phase 2 of Active Travel Fund to support longer term walking and cycling schemes (Phase 1 classed as revenue grant)
DfT - AEBT	DfT	Mar-21	50,000,000	Coventry All Electric Bus City project to be delivered by Winter 2025.
MHCLG - CG Athlete's Village	MHCLG	Mar-21	17,300,000	Delivery of Transport for Commonwealth Games 2022.
MHCLG - West Midlands Land Fund	MHCLG	Mar-18	100,000,000	Quarterly reporting to MHCLG as part of the grant. This is against the outputs specific to Housing of 8,000 homes and the wider West Midlands target of 215,000 homes by 2031, which includes outputs from the Local Authorities
MHCLG - Getting Building Fund	MHCLG	Sep-20	66,000,000	Quarterly reporting to MHCLG as part of the grant (expended by 31 March 2022). This is against the outputs specific to the Local Industrial Strategy which is part of the economic recovery plan. There are a range of Projects, and a suite of outputs from Jobs created 1,440 to houses unlocked 17,210.
MHCLG - Brownfield Housing Fund	MHCLG	Jul-21	108,031,802	Quarterly reporting to MHCLG on the outputs of this grant. Deliver between 7,714 and 9,773 homes
DBEIS - CAV TB2	DBEIS	18/19	6,555,451	£3,563,732 - Meridian 3 (404922) £151,209 - Autoplex (404927) £2,840,510 - Convex (405035)
3rd Pty - WMT	3rd Party	Mar-21	1,000,000	Delivery of University Station build.
3rd Pty - UHB	3rd Party	Aug-20	5,000,000	Delivery of University Station build.
LA - Birmingham	LA	n/a	78,295	Relates to Perry Barr Mitigation Package
PB - Highways England	Highways England	n/a	86,400	Relates to HS2 Modelling Framework
PB - Network Rail	Network Rail	Mar-21	12,000,000	Delivery of University Station build
DEFRA - Air Quality	DEFRA	Mar-20	340,000	Retrofit of at least 20 buses to Euro VI. Working in partnership with Birmingham, Wolverhampton, Coventry, Dudley, Sandwell, Solihull, Walsall, Cannock Chase, North Warwickshire, Nuneaton and Bedworth, Redditch, Rugby, Shropshire, Stratford on Avon, Tamworth, Telford and Wrekin, Warwickshire Councils
LA - Birmingham	LA	n/a	9,061,629	Rail funding = £8.983m BCC funding for Perry Barr = £5.083m BCC funding for University = £3.9m
DfT - Challenge Fund	DfT	2015 Onwards	56,419,000	Mostly competitive fund. WMCA bid in to DfT on behalf of Local Authorities. Grant then distributed based on successful bid. The value shown here is the cumulative value since c.2015 and the projects subject to the grant are largely concluded.

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Grant Register, Business Planning & Performance Reporting

Overview & Scrutiny Committee – 6 September 2021

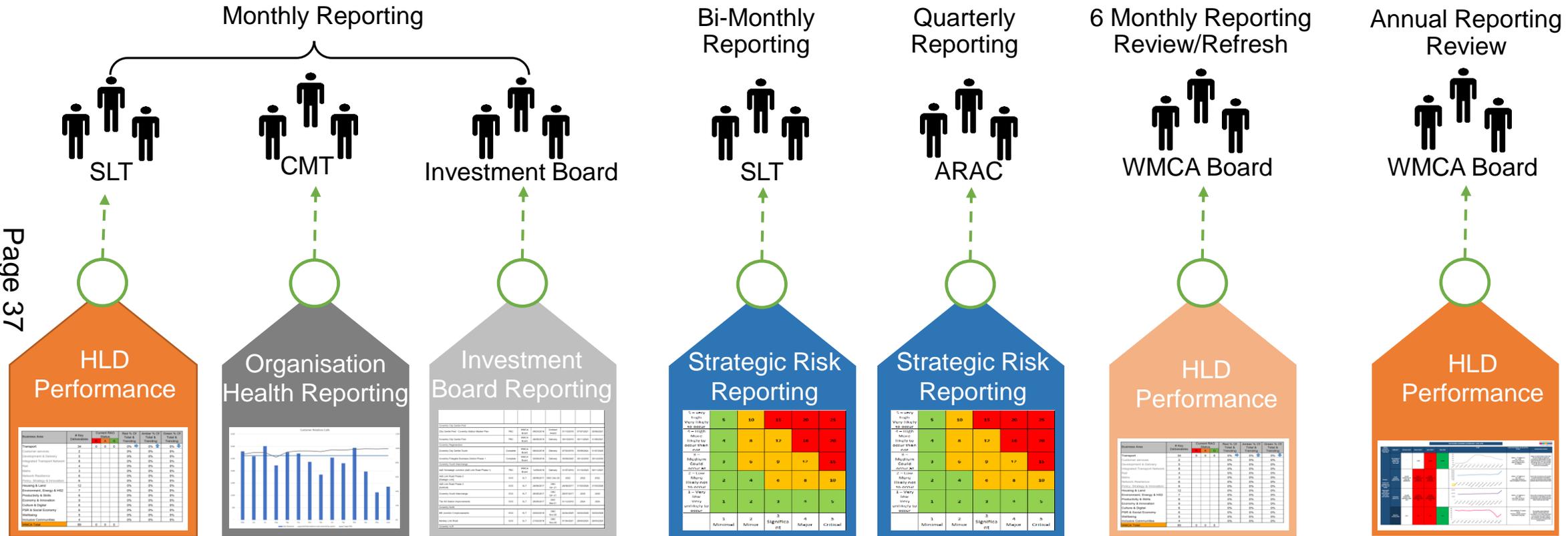
Fiona Bebbington, Head of Business Planning & Performance Reporting and Kate Taylor, Head of Finance Business Partners

Grant Register

Grant Name	Grant Code	For Completion							Business World Data					For Completion			B/F Balance £	21/22 Budget £	Amount Utilised 21/22	Amount Carried Forward
		Awarding Body	Date Grant Awarded	End Date	Directorate	Finance Lead	Revenue Cost Centre	Total Grant Awarded	Total Top Slice £'000	Total Grant Received	Grant Amount Remaining	Total Grant Applied	Grant Unapplied (U-V)	With/Without Conditions?	Declaration Required?	Condition				
Revenue Grant (with conditions) - 10001																				
Active Travel Fund	G135	DfT		Mar-22	Development & Delivery	Priya Spolis	1401	3,765,980	55	3,765,980	-	1,539,176	2,166,804	with conditions			2,166,804	1,286,714	104,704	2,062
Sales, Fees & Charges	G233	MWCLG			Managing Director	Kerry Hector	1002	-	-	1,186,388	-	1,186,388	1,186,388	with conditions			-	-	-	-
Dedicated Home 2 School/College	G562	DfE			Integrated Network Services	Priya Spolis	1205	3,127,430	-	3,127,430	-	5,873,019	3,254,471	with conditions			3,254,471	-	683,238	2,571
Employment Support Pilot	G503	DfVP	Jan-18	Dec-21	Productivity & Skills	Mansi Dogra	2101	4,700,000	-	4,700,000	-	3,177,057	1,522,943	with conditions			1,522,943	1,378,733	-	1,522
2021 Adult Education Budget (Devolution)	100506	DfE	Annual		Productivity & Skills	Mansi Dogra	2104	130,614,575	-	-	-	52,787,379	52,787,379	with conditions			52,787,379	52,787,379	-	52,787
21/22 Adult Education Budget (Devolution)	100506	DfE	Annual		Productivity & Skills	Mansi Dogra	2104	131,678,142	200	131,576,036	102,046	-	131,576,036	with conditions			131,576,036	72,523,831	-	131,576
Adult Education Budget (Covid-19)	100523	DfE	Aug-20	Jul-21	Productivity & Skills	Mansi Dogra	2104	5,306,839	-	5,306,839	-	-	5,306,839	with conditions			5,306,839	5,306,839	-	5,306
Adult Education Budget (Level 3)	G563	DfE			Productivity & Skills	Mansi Dogra	2104	11,446,329	-	3,151,763	2,234,560	-	3,151,763	with conditions			3,151,763	11,446,329	-	3,151
Adult Education Tech Grant	G560	DfE	Apr-20	Jul-21	Productivity & Skills	Mansi Dogra	2104	1,000,000	-	1,000,000	-	365,353	634,041	with conditions			634,041	634,041	-	634
Careers & Enterprise Company	G557	Careers & Enterprise Company	Sep-19	Aug-21	Productivity & Skills	Mansi Dogra	2106	120,000	-	120,000	-	48,493	71,507	with conditions			71,507	71,507	-	71
Digital Skills	G262	DfE	Sep-19	Feb-22	Productivity & Skills	Mansi Dogra	2107	187,500	-	187,500	-	141,350	46,150	with conditions			46,150	-	-	46
Digital Skills Pilot	G555	DfE	Jan-19	Mar-23	Productivity & Skills	Mansi Dogra	2107	5,000,000	-	5,000,000	-	4,013,774	986,226	with conditions			986,226	986,226	-	986
Digital Bootcamp	G561	DfE			Productivity & Skills	Mansi Dogra	2107	-	-	1,810,674	-	1,810,674	1,544,500	with conditions			266,174	704,324	-	266
FE Skills & Productivity	100511	Carusby Charitable Foundation	Jul-19	Dec-21	Productivity & Skills	Mansi Dogra	2103	200,000	-	44,073	155,921	33,015	11,063	with conditions			11,063	41,400	-	11,063
Creative Skills Up	G263	DCMS	Apr-19		Economy & Innovation	Racquel Holness	2204	1,300,000	-	1,300,000	-	1,061,334	238,666	with conditions			238,666	216,513	-	238
BFI National Cluster Growth Fund	G403	BFI	Apr-20	Mar-22	Economy & Innovation	Racquel Holness	2502	1,000,000	10	655,000	345,000	241,064	413,936	with conditions			413,936	451,919	130,153	283
Tourism, Trade & Investment	G254	DCMS			Economy & Innovation	Racquel Holness	2503	13,200,000	-	1,508,000	11,692,000	1,508,000	-	with conditions			-	-	-	-
West Midlands Regional Energy Systems Operator	G253	Innovate UK	Jan-20	Dec-21	Environment & Energy	Racquel Holness	2301	263,405	-	183,717	79,688	59,836	123,881	with conditions			123,881	146,814	33,750	33
Bus Services Operating Grant	G101	DfT	Annual		Integrated Network Services	Priya Spolis	1205	1,792,259	-	1,792,259	-	-	1,792,259	with conditions			1,792,259	1,792,259	448,065	1,344
Covid Bus Services Support Grant	G132	DfT	Mar-20		Integrated Network Services	Priya Spolis	1205	3,503,802	-	4,348,325	-	844,523	3,401,053	with conditions			347,272	-	-	347
Intracity Prop Fund	G140	DfT		Mar-22	Policy, Strategy & Innovation	Jag Johal	1300	8,900,000	-	8,900,000	-	-	8,900,000	without conditions			8,900,000	-	-	8,900
20/21 Joint Data Team	101364	T Metc	Annual		Policy, Strategy & Innovation	Chetan Lodhari	1301	624,100	-	624,100	-	426,125	197,975	with conditions			197,975	197,975	-	197
21/22 Joint Data Team	101364	T Metc	Annual		Policy, Strategy & Innovation	Chetan Lodhari	1301	553,340	-	-	-	553,340	-	with conditions			-	553,340	-	-
Commonwealth Games	G260	DCMS	Apr-18		Network Resilience	Hema Savani	1012	28,305,213	-	2,466,573	25,838,640	1,502,626	363,347	with conditions	No	Specific Project	363,347	5,423,256	-	363
Travel Demand Management Grant	G136	DfT			Network Resilience	Priya Spolis	1010	225,000	-	225,000	-	202,368	22,632	with conditions			22,632	-	-	22
Made Smarter	G251	BEIS		Mar-22	Economy & Innovation	Kate Taylor		1,900,000	-	498,288	1,401,712	130,143	368,145	with conditions			368,145	-	348,290	19

Current Risk and Performance reporting cycle

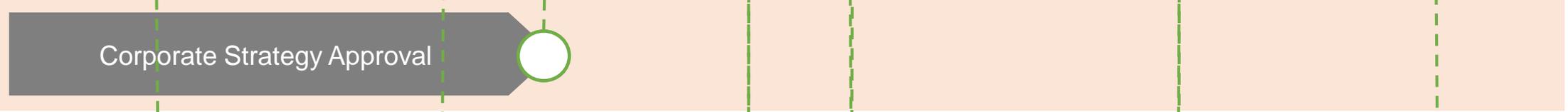
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Timeline for new SRMF/Business Planning process

Jul 2021 Aug 2021 Sept 2021 Oct 2021 Nov 2021 Dec 2021 Jan 2022 Feb 2022 Mar 2022 Apr 2022 May 2022 Jun 2022 Jul 2022

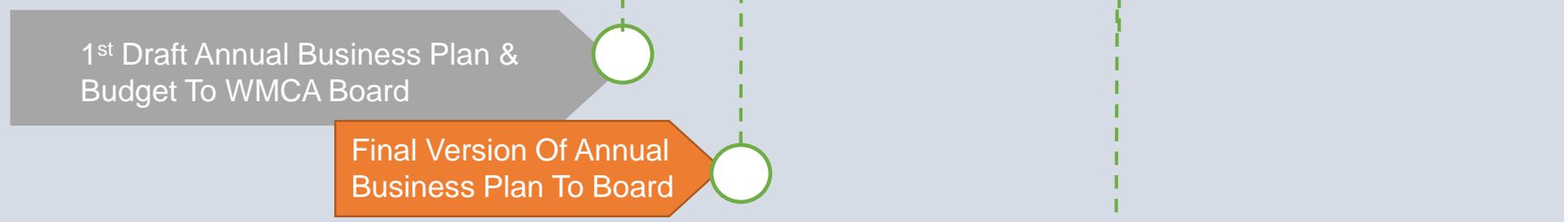
Corporate Strategy



Strategic Risk Management Framework



Annual Business Plan



New HLDs Aligned To Corporate Strategy





Overview & Scrutiny Committee

Date	6 September 2021
Report title	Pre-Development Scrutiny: Air Quality and the Role of the WMCA
Accountable Chief Executive	Laura Shoaf, West Midlands Combined Authority email: laura.shoaf@tfwm.org.uk tel: (0121) 214 7444
Accountable Employee	Satish Mistry, Interim Head of Governance, Clerk and Monitoring Officer email: satish.mistry@wmca.org.uk tel: 07854 691711

Recommendation(s) for decision:

Overview & Scrutiny Committee recommended to:

- (1) Endorse this course of action with regards to scrutiny's engagement in air quality matters.
- (2) Agree which members will meet with the Head of Environment in September and October, ahead of the 'Air Quality: Options on the role of the WMCA' report being presented to the WMCA Board on 19 November for consideration.
- (3) Note that the 'Air Quality: Options on the role of the WMCA' report will be considered by the Overview & Scrutiny Committee on 8 November.

Purpose

1. To inform the committee of an opportunity for a small group of members to work with the Inclusive Growth & Public Service Reform directorate to review and understand the additional requirements arising from the outcome of the Environment Bill as it progresses through Parliament.

Background

2. At its meeting on 25 June, the WMCA Board discussed the activity in respect of air quality and concern was expressed relating to the powers of the WMCA and how it complimented and contributed to the work being undertaken by constituent authorities. The Portfolio Lead for Environment, Energy & HS2 agreed to report back to a future meeting of the board that provided this clarification.
3. Additional requirements will be announced in the autumn with regard to air quality in the form of the Environment Bill and a report will be presented to the WMCA Board on 19 November that will set out the options on the role of the WMCA and how this is balanced with the work of the constituent authorities in addressing air quality.
4. The Overview & Scrutiny Committee will review this report prior to its submission to the WMCA Board. However, in the meantime there is an opportunity for a small group of members of this committee to work alongside the Head of Environment to review and understand the detail of the Environment Bill that is due to be published in the autumn and on the options with regard to the role of the WMCA.

Financial Implications

5. There are no direct financial implications immediately arising out of the recommendations contained within this report.

Legal Implications

6. There are no direct legal implications immediately arising out of the recommendations contained within this report.

Equalities Implications

7. There are no direct equality implications arising out of the recommendations contained within the report.

Inclusive Growth Implications

8. There are no direct inclusive growth implications arising out of the recommendations contained within the report.

Geographical Area of Report's Implications

9. Any powers exercisable by the WMCA in respect of air quality matters will be applicable within the geographical area represented by the seven constituent authorities.

Other Implications

10. There are no further specific implications arising out of the recommendations contained within the report.

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West Midlands
Combined Authority

Transport Scrutiny Sub-Committee

Wednesday 28 July 2021

Minutes

Present:

Councillor Liz Clements (Chair)	Birmingham City Council
Councillor Ken Hawkins	Solihull Metropolitan Borough Council
Councillor Adam Hicken	Walsall Metropolitan Borough Council
Councillor Ian Kettle	Dudley Metropolitan Borough Council
Councillor Thabiso Mabena	Sandwell Metropolitan Borough Council
Councillor Barbara McGarrity	City of Wolverhampton Council

In Attendance:

Dan Essex	Governance Services Manager
Alex Greatholder	Principal Strategy & Policy Officer
David Harris	Transport Strategy & Place Manager
Lyndsey Roberts	Scrutiny Officer

1. Apologies for Absence

Apologies for absence were received from Councillor Gurdev Hayre (Coventry) and Kashmire Hawker (Young Combined Authority)

2. Appointment of Vice-Chair

It was agreed that the appointment of a Vice-Chair for the Transport Scrutiny Sub-Committee 2021/22 be deferred to the next meeting.

3. Chair's Remarks

The Chair welcomed members to the first meeting of the year and invited everyone to introduce themselves and explain how they saw the role of the sub-committee for the forthcoming year.

4. Minutes - 22 February 2021

The minutes of the meeting held on 22 February 2021 were agreed as a correct record.

5. Matters Arising

(a) Review of Transport Governance

The Governance Services Manager provided an update on the activities undertaken as part of the review of transport governance that had been undertaken and he confirmed that the review had now been completed and the majority of recommendations adopted and implemented.

(b) **Financial Monitoring Report**

Whilst recognising that the Transport Delivery Committee currently had oversight of the transport budget, the Chair considered that the financial position on the transport budget should also be considered by the Transport Scrutiny Sub-Committee to enable deeper scrutiny on any discrepancies within the budget. The Governance Services Manager undertook to look into the potential to schedule this at future meetings.

6. Schedule of Future Meetings

The schedule of meetings was agreed as follows:

- 13 September 2021
- 22 October 2021 (Transport Policy Q&A)
- 24 November 2021
- 17 January 2022
- 23 February 2022 (Transport Delivery Q&A)
- 23 March 2022

7. West Midlands Local Transport Plan: Green Paper Engagement

The committee received a presentation from the Transport Strategy & Place Manager and the Principal Strategy & Policy Officer on the new Local Transport Plan for the region.

Transport for West Midlands was currently developing a new Local Transport Plan for the region. To support and inform the development of the plan, Transport for West Midlands had published a Green Paper to help build awareness of the role of transport in helping to create a more prosperous and better connected West Midlands which was fairer, greener and healthier. Within the Green Paper there were ideas to explore with local authorities, the public, regional businesses and other key stakeholders on what the future could look like and how and why it might plan and manage the transport system differently.

The Transport Strategy & Place Manager sought the views of the committee on the Green Paper that would be fed back to inform a session with the leaders of the West Midlands on the future transport strategy.

Members discussed and shared comments on the complexity and scale of the challenge, the use of e-scooters as an alternative mode of transport, the need for a sufficient bus and train network to meet consumer demand and expectations, the importance of engagement with members of the public to ensure behavioural change, and concerns regarding the implications of the Commonwealth Games on the current transport network system. Members also highlighted the importance of the need for Transport for West Midlands to develop a transport network that would allow passengers to have the same freedom to move around the region as was currently enjoyed by car owners.

With regard to '20 minute neighbourhoods', the Transport Strategy & Place Manager explained that there was a plan to commission work to understand what accessibility looked like across the West Midlands and how land use and mobility had changed consumer demand.

Resolved:

That the presentation be noted and comments on the Green Paper be fed back to inform a session with the leaders of the West Midlands on the future transport strategy.

8. Potential Sub-Committee Work Programme

The sub-committee discussed its draft work programme on business for consideration at future meetings and at the WMCA Board.

It was reported that there was an opportunity for some members of the Transport Scrutiny Sub-Committee to work alongside a small group of colleagues on the Overview & Scrutiny Committee and the Head of Environment on the role of the WMCA in air quality related issues and how this aligned with the work of local authorities. It was noted that there would be additional requirements as a result of the outcome of the Environment Bill that was expected in the autumn.

Resolved:

The sub-committee work programme be noted.

9. Date of Next Meeting

The next meeting of the committee would be held on Monday 13 September 2021 at 10.00am.

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WMCA Overview & Scrutiny Committee – Work Programme

Title of Report	Description of Purpose	Date of Meeting	Lead Officer/Member
Mayor's Question Time: Event 1: Policy 14 October 2021			
Grant Register	To receive the Grant Register	8 November 2021	Kate Taylor
Air Quality: Role of the WMCA - Options Paper	To receive a report on Air Quality and the role of the Combined Authority prior to submission to the WMCA Board 19 November.	8 November 2021	Ed Cox
WMCA Governance Review – Full Report	To receive the findings/outcome of the WMCA Governance Review	8 November 2021	Satish Mistry
Mayor's Question Time: Event 2: Proposed Budget 2022/23: 15 December 2021			
Mayoral Q&A (Policy): Mayor's response to the recommendations presented to the WMCA Board	To receive a formal response to the recommendations and/or considerations presented to the WMCA Board on 19 November	15 December 2021	Lyndsey Roberts

Title of Report	Description of Purpose	Date of Meeting	Lead Officer/Member
Grant Register	To receive the Grant Register	10 January 2022	Kate Taylor
Draft Annual Business Plan 2022/23	To consider a draft Annual Business Plan for 2022/23 prior to approval at the WMCA Board on 11 February	10 January 2022	Laura Shoaf
Future Meetings			
Grant Register	To receive the Grant Register	7 March 2022	Kate Taylor
Mayoral Q&A (Budget): Mayor's response to the recommendations presented to the WMCA Board	To receive a formal response to the recommendations and/or considerations presented to the WMCA Board on 14 January 2022	7 March 2022	Lyndsey Roberts
The Levelling Up White Paper	To receive an update on the content on the levelling up white paper and challenges	Date to be confirmed	Laura Shoaf

WEST MIDLANDS COMBINED AUTHORITY FORWARD PLAN: SEPTEMBER 2021 - MARCH 2022

Title of Report	Description of Purpose	Date of Meeting	Key Decision (Y/N)	Lead Portfolio Holder	Lead Officer	Confidential	Category
Financial Monitoring 2021/22	To consider the WMCA's latest financial position.	17 September 2021	Yes	Cllr Bob Sleigh	Linda Horne	No	Finance
Circular Economy Roadmap	To consider proposals to develop a circular economy roadmap.	17 September 2021	Yes	Cllr Ian Courts	Ed Cox	No	Environment & Energy
Bus Service Improvement Plan	To consider the latest position relating to the bus service improvement plan.	17 September 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport
City Region Sustainable Transport Fund	To consider the latest position relating to the fund.	17 September 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport
Department for Transport Zero Emissions Bus Fund	To approve the full business case for the WMCA's bid for funding.	17 September 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport

Title of Report	Description of Purpose	Date of Meeting	Key Decision (Y/N)	Lead Portfolio Holder	Lead Officer	Confidential	Category
West Midlands Rail/Department for Transport Collaboration Agreement	To seek approval to enter into a new collaboration agreement.	17 September 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport
2021/22 High Level Deliverables	To consider the refreshed WMCA High Level Deliverables for 2021/22.	19 November 2021	Yes	n/a	Julia Goldsworthy	No	Policy
Final Corporate Strategy 2021/22 - 23/24	To agree the WMCA's Corporate Strategy for 2021/22 - 23/24.	19 November 2021	Yes	n/a	Julia Goldsworthy	No	Policy
Equalities Scheme	To approve the draft Equalities Scheme for consultation.	19 November 2021	Yes	Cllr Brigid Jones	Laura Shoaf	No	Inclusive Communities
Mental Health Commission	To provide an update on the work of the Mental Health Commission.	19 November 2021	Yes	n/a	Ed Cox	No	n/a

Title of Report	Description of Purpose	Date of Meeting	Key Decision (Y/N)	Lead Portfolio Holder	Lead Officer	Confidential	Category
Air Quality Options Paper	To consider proposals relating to air quality.	19 November 2021	Yes	Cllr Ian Courts	Ed Cox	No	Environment & Energy
Financial Monitoring 2021/22	To consider the WMCA's latest financial position.	19 November 2021	Yes	Cllr Bob Sleigh	Linda Horne	No	Finance
Local Transport Plan Consultation Approval	To consider the response received from the Local Transport Plan consultation.	19 November 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport
Contactless Fare Payments Broker System Full Business Case	To approve the full business case.	19 November 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport
Bus Delivery Options	To consider the outline business case consultation feedback.	19 November 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport

Title of Report	Description of Purpose	Date of Meeting	Key Decision (Y/N)	Lead Portfolio Holder	Lead Officer	Confidential	Category
West Midlands Cycle Hire Scheme	To consider the latest position regarding the West Midlands Cycle Hire Scheme.	19 November 2021	Yes	Cllr Ian Ward	Anne Shaw	No	Transport
Draft Annual Business Plan 2022/23	To consider a draft Annual Business Plan for 2022/23	14 January 2022	Yes	n/a	Julia Goldsworthy	No	Policy
Net Zero Neighbourhood	To consider proposals for a Net Zero Neighbourhood.	14 January 2022	Yes	Cllr Ian Courts	Ed Cox	No	Environment & Energy
Draft WMCA Budget 2022/23	To consider the draft WMCA budget for 2022/23.	14 January 2022	Yes	Cllr Bob Sleigh	Linda Horne	No	Finance
Financial Monitoring 2021/22	To consider the WMCA's latest financial position.	14 January 2022	Yes	Cllr Bob Sleigh	Linda Horne	No	Finance
Local Transport Plan Approval	To approve the final local transport plan.	14 January 2022	Yes	Cllr Ian Ward	Laura Shoaf	No	Transport



Title of Report	Description of Purpose	Date of Meeting	Key Decision (Y/N)	Lead Portfolio Holder	Lead Officer	Confidential	Category
Annual Business Plan 2022/23	To approve the WMCA's Annual Business Plan for 2022/23.	11 February 2022	Yes	n/a	Julia Goldsworthy	No	Policy
Final WMCA Budget 2022/23	To agree the WMCA's budget for 2022/23.	11 February 2022	Yes	Cllr Bob Sleigh	Linda Horne	No	Finance
Financial Monitoring 2021/22	To consider the WMCA's latest financial position.	18 March 2022	Yes	Cllr Bob Sleigh	Linda Horne	No	Finance

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